

Docket No. 216533US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazufumi NOBORIMOTO et al.

SERIAL NO: 09/998,208

GAU: 2651

FILED: 12/03/01

EXAMINER:

FOR: OPTICAL-DISK REPRODUCING APPARATUS, OPTICAL PICKUP APPARATUS AND OPTICAL-DISK RECORDING & REPRODUCING APPARATUS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

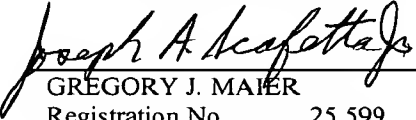


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Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


GREGORY J. MAIER

Registration No. 25,599

Joseph A. Scafetta, Jr.
Registration No. 26,803



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LIST OF RELATED CASES

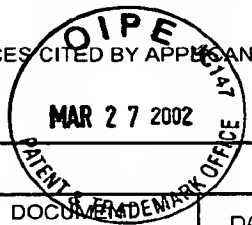
<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	5,793,735	08/11/98	OONO
PER CLIENT	5,742,437	04/21/98	EGUCHI et al.
216533US6*	09/998,208	12/03/01	PENDING
217734US6	10/026,740	12/27/01	PENDING

*Present application; listed for information

GJM/ae

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216533US6		SERIAL NO. 09/998/208	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazutaka NOBORIMOTO et al.			
				FILING DATE 12/03/01		GROUP 2651	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,793,735	08/11/98	OONO			
	AB	5,742,437	04/21/98	EGUCHI et al.			
	AC						
	AD						
	AE						
	AF						
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	AH						
	AI						
	AJ						
	AK						
	AL						
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	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							



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